Event Vetting

Government employees, particularly high-level officials like United States Attorneys, are subject to ethical restrictions governing the types of events they may attend. Some restrictions relate to benefits, including luncheons, dinners, awards, and tokens of appreciation they may accept. Other restrictions relate, among other things, to participation in events that are sponsored by persons who do business with or lobby the Government; that have a fundraising component; where it may appear that official attendance is being used to promote the event or the sponsor; or where elected officials may be in attendance. To assist us in vetting compliance with government ethical restrictions, we would appreciate it if you could provide the following information. If you do not have specific information for each response, please provide such general or descriptive information as you currently have. Please do not hesitate to call the Office's Government Ethics Advisor, (b)(6), (b)(7)c at (b)(6), (b)(7)c or e-mail him at (b)(6), (b)(7)c to discuss this.

1. Name, date and time, and location of event.

   The Anticorruption Academy is in Colorado Springs from August 15-19, 2016. Preet is scheduled to give the keynote address, which is at 9:30 on August 15.

2. Format of event (*e.g.*, cocktails; presentations; speeches; and/or meal).

   The Academy is a week-long course for prosecutors from state attorneys general offices ("AGOs"). Preet's presentation is a keynote address (currently scheduled for **60 minutes; please let me know if he'd like more or less time**), and will be followed by a panel of three current and former Attorneys General who will discuss the roles that AGOs play in fighting corruption.

3. Estimated cost per attendee of event.

   The National Association of Attorneys General will pay for travel and hotel accommodations, as well as food and beverages, all faculty and up to 30 students. It is estimated that the actual cost per attendee is approximately $2,500 for the entire week.

4. Are any attendees being charged to attend the event?

   Yes.

   a. If so, who (in general) is being offered free attendance, and who is expected to pay?

      Faculty and up to 30 students from state AGOs will be provided with travel, hotel, food, and beverages. Any additional students from AGOs who wish to attend will be responsible for their own travel and hotel arrangements, and will be charged between $750 (actual cost of food and beverages) and $1295 ($750 plus a registration fee of $545) for the event.

      We anticipate approximately five prosecutors from Brazil, as part of an ongoing anticorruption project between NAGTRI and that country, may also attend. Those prosecutors will be responsible for their own travel

arrangements and will be charged a fee—likely between $750 and $1295—for the program.

5. Name of entity extending the invitation and link to web site (if any).

   National Association of Attorneys General ("NAAG"), National Attorney General Training & Research Institute ("NAGTRI").

   - NAAG website: http://www.naag.org
   - NAGTRI website: http://www.naag.org/nagtri.php
   - Anticorruption Academy website: http://www.naag.org/nagtri/nagtri-courses/national/anticorruption-academy.php

   a. Is the entity extending the invitation registered with the Secretary of the Senate and the Clerk of the House of Representatives as a lobbyist pursuant to Section 1603(a) of Title 2 of the United States Code?

      No. This is not a lobbyist organization.

6. Sponsor of event if different from above.

   N/A

   a. Is the sponsor of the event registered with the Secretary of the Senate and the Clerk of the House of Representatives as a lobbyist pursuant to Section 1603(a) of Title 2 of the United States Code?

      N/A

7. Names of additional entities that will be recognized for sponsorship or support of the event.

   N/A

8. How many persons are expected to attend?

   At least 35 students from AGOs and possibly Brazil. Approximately 15-20 faculty members.

9. Demographics of expected attendees (*e.g.*, lawyers; law enforcement; business leaders; students; etc.)

   Students: all prosecutors. Faculty: primarily current prosecutors; several elected Attorneys General (current and former); several former prosecutors who are now in academia or private practice; one former prosecutor who is now a federal district court judge.

10. Are any elected officials expected to attend and, if so, what is their expected role?

    Yes. Attorneys General from the states and territories will be invited, and welcome to, attend. It is anticipated that most of the attendees will be assistant attorneys general, however. These are the attorneys general who have confirmed that they will be participating:

i. Mayor of Colorado Springs, John Suthers: will give a welcome and participate in the panel of state attorneys general following the keynote. Mayor Suthers was previously an elected district attorney; a U.S. Attorney; and Attorney General, all in Colorado.
ii. Attorney General Cynthia Coffman (Colorado): will give welcome remarks
iii. Attorney General Peter Kilmartin (Rhode Island): will participate in attorney general panel
iv. Attorney General Hector Balderas (New Mexico): will participate in attorney general panel

11. Is there a fundraising component to the event? (*e.g.*, program advertisements; dinner ticket price; silent auction)? If so, please describe.[1]

No.

12. Expected role of U.S. Attorney (*e.g.*, simple attendance; remarks; speech)

Keynote address. (As noted; currently 60 minutes—please let me know if he would like more or less time.)

13. If the U.S. Attorney is being honored:

a. What are the criteria your organization applies to determine who should be honored for this specific award?

N/A

b. What is the internal process by which your organization selects potential honorees?

N/A

c. For what specific public service or achievement do you wish to honor the U.S. Attorney?

N/A

d. Did any of the accomplishments of the U.S. Attorney which you wish to honor directly affect the organization or its members? (Describe.)

[1] For events that involve any fundraising leading up to or during the event: The United States Attorney may not participate in any active fundraising activity with respect to the event. Nor may the U.S. Attorney allow his name, position, or title to be used for any fundraising activity for your organization. If applicable, you may list his name as an awardee and/or speaker on the program and on invitations issued not more than 30 days prior to the event, along with other information about the program. He may not be listed on any "save the date" notices. Failure to comply with these limitations may preclude the U.S. Attorney from appearing at the event. If the U.S. Attorney is giving an official speech, he may be seated and have photos taken as appropriate for a speaker at the event, but you may not use his photo in connection with any other fundraising efforts.

N/A

e. Do any of the board members or host committee or senior staff have any matters pending before or involving the United States Attorney's Office for the Southern District of New York?

N/A

f. Please provide a description and the value of any award item (if the award item is a plaque, certificate or trophy of little intrinsic value, you may so indicate).

N/A

g. Who else is expected to be honored at the event?

N/A

14. If the U.S. Attorney is making a presentation, who else is expected to be making a presentation/be on a panel? **Please note: only the first four people listed may be part of the open portion of the day on which Preet will speak.**
    i. Attorney General Cynthia Coffman (Colorado): will give welcome remarks
    ii. Mayor of Colorado Springs, John Suthers: will give a welcome and participate in the panel of state attorneys general following the keynote. Mayor Suthers was previously an elected district attorney; a U.S. Attorney; and Attorney General, all in Colorado.
    iii. Attorney General Peter Kilmartin (Rhode Island): will participate in attorney general panel
    iv. Attorney General Hector Balderas (New Mexico): will participate in attorney general panel
    v. Glen McGorty, former SDNY AUSA; currently at Crowell & Moring: lecture (Monday afternoon)
    vi. Dan Cort, Public Integrity Chief, New York Attorney General's Office: lectures
    vii. Ronald Goldstock, N.Y. Commissioner, Waterfront Commission of New York Harbor/ Pugh Jones Johnson Law Firm/ Adjunct Prof., NYU Law: lectures, moderator, and break-out session instructor
    viii. Arlo Devlin-Brown, SDNY Public Corruption Chief: lecture & panel
    ix. Christine Hoffman, Deputy Director, Division of Criminal Justice, New Jersey Attorney General's Office: lecture and panel
    x. Judge John Blakey, Northern District of Illinois District Court: lecture and structured conversation
    xi. Prof. Peter J. Henning, Wayne State University Law School: lecture and structured conversation
    xii. Jennifer Rodgers, former SDNY AUSA; currently director of Center for Ethics and Public Integrity, Columbia Law School: panel
    xiii. Brian Kane, Assistant Chief Deputy, Idaho Attorney General's Office: lecture and panel
    xiv. Nicholas Trutanich, First Assistant Attorney General, Nevada Attorney General's Office: lecture and panel

xv. Rob Shapiro, First Assistant Attorney General for Special Prosecutions, Colorado Attorney General's Office
xvi. Elie Honig, former SDNY AUSA; currently Director of Division of Criminal Justice, New Jersey Attorney General's Office: lecture and/ or panel
xvii. Chris Toth, NAAG deputy executive director / NAGTRI director: welcome remarks (likely will include introduction of Preet) and moderator

We will also include someone from the Asset Forfeiture and Money Laundering Section of USDOJ, and expect to have presenters from various federally-funded law enforcement support programs give short lectures about how their entities can be of assistance to prosecutors with corruption cases.

b. Will the U.S. Attorney be presented with a token or memento in appreciation for his presentation?
   i. ___ Nothing
   ii. _X__ Plaque or other memento of little intrinsic value
   iii. ___ Other. Please describe, including its value.

15. Describe any special seating arrangements for U.S. Attorney, *e.g.*, dais, general seating (depending on the nature of the event, the U.S. Attorney may or may not be able to accept "dais" seating.)

    TBD.

16. Promotion of the event: please provide a copy of or link to the invitation/promotional material for the event (or if not available, for the prior year's event).

    The current website for the event: Anticorruption Academy website: http://www.naag.org/nagtri/nagtri-courses/national/anticorruption-academy.php

    Please note that NAAG expects to do a press release regarding the event, and the announcement of the NAGTRI Center for Ethics and Public Integrity ("CEPI"), one to two weeks before the event. That press release will include that Preet is making the keynote speech and the names of the AGs on the AG panel. **Please provide a high-quality electronic photograph, as we may choose to include Preet's photo in that press release.**

    We would also like to record the keynote speech and the AG panel that follows it, and post both on the CEPI website the same day as those events, and would like to publicize the availability of those videos in the press release. **Please let us know if Preet will consent to being recorded and having the video posted on the CEPI website.**